

FORM PTO-1449

# INFORMATION DISCLOSURE CITATION PAGE IN AN APPLICATION

(Use several sheets if necessary)

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Docket Number  
STL920000094US1Application Number  
09/894,074Applicant  
WF Miller et al.Filing Date  
June 28, 2001Group Art Unit  
2152 2192

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER							DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROP.
Har	5	3	0	1	3	2	0	04/05/94	McAtee et al.	705	9	
	5	5	3	5	3	2	2	07/09/96	Hecht	705	1	RECEIVED
	5	5	7	2	6	7	3	11/05/96	Shurts	713	200	JAN 08 2002
	5	5	9	6	7	4	4	01/21/97	Dao et al.	707	10	Group 2100
	5	6	3	4	0	5	3	05/27/97	Noble et al.	707	4	
	5	6	3	4	1	2	7	05/27/97	Cloud et al.	719	313	
	5	7	2	1	9	1	2	02/24/98	Stepczyk et al.	707	102	
	5	7	2	1	9	1	3	02/24/98	Ackroff et al.	707	103R	
	5	7	3	4	8	3	7	03/31/98	Flores et al.	705	7	
	5	7	4	5	6	8	3	04/28/98	Lee et al.	709	250	
	5	7	5	2	0	2	7	05/12/98	Familiar	707	103R	
	5	7	7	4	6	6	1	06/30/98	Chatterjee et al.	709	203	
	5	7	9	4	2	5	0	08/11/98	Cariño, Jr. et al.	707	9	
	5	8	2	6	2	3	9	10/20/98	Du et al.	705	8	
	5	8	6	0	0	6	6	01/12/99	Rouse	705	1	
	5	8	6	4	8	4	3	01/26/99	Cariño, Jr. et al.	707	4	
	5	8	7	3	0	8	3	02/16/99	Jones et al.	707	4	
	5	9	1	3	2	1	4	06/15/99	Madnick et al.	707	10	
	5	9	2	6	6	3	6	07/20/99	Lam et al.	719	313	
	5	9	3	0	5	1	2	07/27/99	Boden et al.	717	102	
	5	9	3	7	3	8	8	08/10/99	Davis et al.	705	8	
	5	9	8	7	4	2	2	11/16/99	Buzsaki	705	9	
	6	0	4	4	3	7	8	03/28/00	Gladney	707	103R	
	6	0	6	5	0	0	9	05/16/00	Leymann et al.	707	10	
	6	0	7	3	1	0	9	06/06/00	Flores et al.	705	8	
	6	0	7	3	1	1	1	06/06/00	Leymann et al.	705	8	
	6	0	1	2	0	6	7	01/04/00	Sarkar	707	103R	
V	6	1	1	5	6	4	6	09/05/00	Fizman et al.	700	181	

## FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER							DATE	COUNTRY	CLASS	SUBCLASS	Translation YES NO	
Har	0	0	1	4	6	1	8	16 Mar 00	PCT			FULL	

<b>FORM PTO-1449</b>  <b>INFORMATION DISCLOSURE CITATION IN AN APPLICATION</b>  <i>(Use several sheets if necessary)</i>										<b>Docket Number</b> STL920000094US1		<b>Application Number</b> 09/894,074	
<div style="position: relative; width: 100%; height: 100%;"> <div style="position: absolute; top: 0; left: 0; width: 100%; height: 100%; border: 1px solid black; border-radius: 50%; text-align: center; line-height: 100%;">             PATENT &amp; TRADEMARK OFFICE              JAN 03 2002           </div> </div>										<b>Applicant</b> WF Miller et al.		<b>Group Art Unit</b> 2152 2192 <b>Group 2100</b>	
										<b>Filing Date</b> June 28, 2001			
Han	9	8	2	6	7	5		EP		FULL			
Han	9	9	6	3	4	6	9	9 Dec 99	PCT	FULL			
<b>OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)</b>													
Han	U.S. Patent Application Serial No. # 09/894,413, filed on June 28, 2001, entitled, "Method, System, and Program for Using Objects in Data Stores During Execution of a Workflow", invented by JJ Lin; WF Miller; and AT Yaung.												
	U.S. Patent Application Serial No. # 09/894,076, filed on June 28, 2001, entitled, "Method, System, and Program for Executing a Workflow", invented by AT Yaung.												
	Galtzur, Z. et al. "Managing Workflow Using Database Techniques", IBM Technical Disclosure Bulletin, Vol. 39, No. 08, August 1996, pp. 199-201.												
	Yong, Y.M. "Template-Driven Document-Exchange Specification", IBM Technical Disclosure Bulletin, Vol. 39, No. 11, November 1996, pp. 173-178.												
	Duscher, R. et al. "Audit Trail Support For Program Activity Implementations of Workflow Management Systems", 1994, pp. 1-4												
	Dr. Leymann, F. et al. "Context Support For Program Activity Implementations of Workflow Management Systems", 1994, pp. 1-4.												
	Sluiman, H. "A Relational Schema to Support Task and Workflow, Data Collection and Analysis", pp. 1-3. <i>Undated</i>												
	IBM Corp. "Chapter 4. Introducing Enterprise Information Portal Workflow" from <u>Managing Enterprise Information Portal</u> , IBM Enterprise Information Portal for Multiplatforms, Version 7.1, #SC27-0875-00, Product Numbers 5697-G29 and 5697-G31, August 2000, pp. iii-iv and pp. 39-45.												
	IBM, Corp. "Concepts and Architecture" IBM MQSeries Workflow, Version 3.3, #GH12-6285-03, Product Number 5697-FM3, March 2001, pp. iii-58.												
	IBM, Corp. "User's Guide for the Work Management Builder" IBM ImagePlus Workfolder Application Facility for AS/400, Version 4 Release 1, #SC34-4587-00, Program Number 5733-A18, September 1997, pp. iii-119.												
	Su, SYW et al. "An Extensible Knowledge Base Management System for Supporting Rule-based Interoperability among Heterogeneous Systems" 1995, ACM # 0-89791-812-6/95/11, pp. 1-10.												
	Johansson, SE et al. "Expert Workflow, Building Knowledge-Based Workflow Systems with Object Technology", 1997, pp. 45-49.												
	Leymann, F. et al. "Workflow-based Applications" (online), Vol. 36, No. 1 - Application Development, pp. 1-22, plus 9 pages of linked Figures (drawings), and 2 pages of "Cited References and Notes". [Retrieved on 17 May 01]. Retrieved from the Internet at <URL: <a href="http://www.research.ibm.com/journal/sj/361/leymann.html">http://www.research.ibm.com/journal/sj/361/leymann.html</a> >												
✓	Vossen, G. "The WASA2 Object-Oriented Workflow Management System", 1999, ACM # 1-58113-084-8/99/05, pp. 587-589												
<b>EXAMINER</b> <i>Horngun Centonjorngyen Ba</i>								<b>DATE CONSIDERED</b> 8/4/05					
EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.													